REPORT DOCUMENTATION PAGE

Form Approved OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

subject to any penals PLEASE DO NO	ty for failing to compl T RETURN YOU	y with a collection of R FORM TO Th	f information if it does not displa IE ABOVE ADDRESS.	y a currently valid (OMB control	number.		
1. REPORT DA	TE (DD-MM-YY	YYYY) 2. REPORT TYPE				3. DATES COVERED (From - To)		
			Conference Pro	ceeding		18-22 November 2002		
4. TITLE AND Relationship B	etween Backso	attering and B	5a. CONTRACT NUMBER					
Derived form New Measurements of Light Scattering Phase Functions					5b. GRANT NUMBER			
						5c. PROGRAM ELEMENT NUMBER 602435N		
6. AUTHOR(S)					5d. PROJECT NUMBER			
Vladimir I. Haltrin, Michael Lee, Eugeny Shybanov, Robert A. Arnone, Alan D. Weidemann, Victor Mankovsky, W. Pegau, Sherwin D. Ladner								
					5e. TASK NUMBER BE 435-021			
					5f. WORK UNIT NUMBER			
						LO DEDECRANIC ODGANIZATION		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Naval Research Laboratory Oceanography Division Stennis Space Center, MS 39529-5004						8. PERFORMING ORGANIZATION REPORT NUMBER NRL/PP/7330/02/0058		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Office of Naval Research 800 N. Quincy St.						10. SPONSOR/MONITOR'S ACRONYM(S) ONR		
Arlington, VA	22217-5660					11. SPONSOR/MONITOR'S REPORT		
						NUMBER(S)		
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution is unlimited								
13. SUPPLEMENTARY NOTES								
44 ADSTRACT								
Processing of optical remote sensing information requires a knowledge of dependence between backscattering and beam scattering coefficients. Until recently this dependency was primarily derived from fifteen angular scattering coefficients measured more than 30 years ago by Petzold [1]. A few years ago a new probe to measure an angular scattering coefficient of natural waters was developed and built by the Marine Hydrophysical Institute in Sevastopol, Crimea [2]. Since 2000 his device was employed in several LEO- 15 expeditions near the coast of New Jersey, and in May 2002 expedition in the northern Gulf of Mexico.								
15. SUBJECT TERMS 2007 10 4								
backscattering, beam scattering, phase functions 20030523 106								
10. 02.001111 02.100111011011						ME OF RESPONSIBLE PERSON		
a. REPORT	b. ABSTRACT	c. THIS PAGE	ABSTRACT	OF PAGES		r Haltrin		
Unclassified	Unclassified	Unclassified	SAR	6	196. TEL	EPHONE NUMBER (Include area code) 228-688-4528		

PUBLICATION OR PRESE	NTATION RELEASE REQUEST		Pubkey: 3333 NRLINST 5600		
1. REFERENCES AND ENCLOSURES	2. TYPE OF PUBLICATION OR PRESE	ENTATION	3. ADMINISTRATIVE INFORMATION		
Ref: (a) NRL Instruction 5600.2 (b) NRL Instruction 5510.40D Encl: (1) Two copies of subject pape (or abstrac	() Book () () () () () () () () () (Abstract only, not published Book chapter Conference Proceedings (not refereed) Multimedia report Journal article (not refereed)	STRN NRL/PP/7330-02-58 Route Sheet No.7330/ Job Order No. Classification X U C Sponsor		
	() Oral Presentation, published () () () Other, explain	Oral Presentation, not published	approval obtained X yes no		
4. AUTHOR					
Title of Paper or Presentation	ng and Beam Scattering Coefficients Deriv	ved from New Measurements	of Light Scattering Phase Functions		
Author(s) Name(s) (First,MI,Last), C		Tea nom new measurements	or Light Ocationing Friase Functions		
Vladimir I. Haltrin, Michael Lee, It is intended to offer this paper to	Eugeny Shybanov, Robert A Arnone, Al		ankovsky, W. Pegau, Sherwin D. Ladne		
18-NOV - 22-NOV-2002, Santa Fe		(Name of Conference)			
10-1104 - AL-1104-2002, Guilla I e	(Date, Place and Classificati	ion of Conference)			
(1)	lings to Ocean Optics XVI, Unclassifi lame and Classification of Publication) ertinent publication/presentation data w		(Name of Publisher)		
This paper does not violate any discommunicated to the Laboratory in This subject paper (has) (ha	he subject paper (is) (is notX sclosure of trade secrets or suggestions a confidence. This paper (does) (s never _X) been incorporated in an imir I. Haltrin, 7333 d Code (<i>Principal Author</i>)	of outside individuals or co does not <u>X</u>) contain any	ncerns which have been		
5. ROUTING/APPROVAL	and the second second				
CODE	SIGNATURE	DATE	COMMENTS		
Author(s) Haltrin	Mtolfin	8/8/12	attached abstract previously submitted		
Section Head Robert A Arnone, 7333	Q arene	8/1/20			
Branch Head f. Hwam, Acting Steven W. Payne, 7330	PA formes	5/5/02			
Division Head William J. Jobst, 7300	Solst	, . 2. sı	Release of this paper is approved. To the best knowledge of this Division, the ubject matter of this paper (has) been classified		
Security, Code 7030.1 Office of Counsel,Code	David K. Carle		Paper or abstract was released. A copy-is-filed-in-this office \$250		
ADOR/Director NCST E.O. Hartwig, 7000	_				
Public Affairs (Unclassified/ Unlimited Only), Code 7020 4	Book Pol-	1/2 /2			

Division, Code Author, Code

b. DISTRIBUTION STATEMENTS (Author to check appropriate statement and till reason as rec	quired)						
A - Approved for public release, distribution is unlimited.							
B - Distribution authorized to U.S. Government agencies only (check reason below):	5						
Foreign Government Information Contractor Performance Evaluation	Critical Technology						
Proprietary Information Administrative/Operational Use	Premature Dissemination						
Test and Evaluation Software Documentation							
	Cite "Specific Authority" (Identification of valid documented authority)						
Date statement applied Other requests for this document shall be referred to							
(Insert Controlling DOD	Office*)						
C - Distribution authorized to U.S. Government agencies and their contractors (check reas	ison helow).						
Cottone Documentation	Son Scium,						
Totalgii Governiaent information	City WCassifia Authority						
Administrative/Operational Use Critical Technology	Cite "Specific Authority " (Identification of valid documented authority)						
Date statement applied							
Other requests for this document shall be referred to(Insert Controlling DOD (Office*						
	Oince)						
D - Distribution authorized to DOD and DOD contractors only (check reason below):	•						
Foreign Government Information Critical Technology							
Software Documentation Cite "Specific Authority	valid documented authority)						
Administrative/Operational Use	valid documented additionty)						
Date statement applied							
Other requests for this document shall be referred to							
(Insert Controlling DOD 0	Office*)						
E - Distribution authorized to DOD components only (check reason below):							
Proprietary Information Premature Dissemination	Critical Technology						
	Direct Military Support						
Administrative/Operational Use Contractor Performance Evaluation	Test and Evaluation						
Date statement applied	Cite "Specific Authority" (Identification of valid documented authority)						
Other requests for this document shall be referred to							
(Insert Controlling DOD (Office*)						
F - Further dissemination only as directed by							
(Insert Controlling DOD Office*)							
Date statement applied or higher DOD authority							
G - Distribution authorized to U.S. Government agencies and private individuals or enterg	prises eligible to obtain export-controlled						
technical data in accordance with regulations implementing 10 U.S.C. 140c.							
Date statement applied							
Other requests for this document shall be referred to							
(Insert Controlling DOD Office*)							
*For NRL publications, this is usually the Commanding Officer, Naval Research Laboratory, Washington, DC 20375-5320							
7. OTHER LIMITATION							
Classification NOFORN	DTIC exempt (explain)						
Classification Review Substantive changes made in this document after approval							
(initial/Date) these reviews. Therefore, if any substantive changes are mathematical the document must be returned for another Classification R	ade by the author, Technical Information, or anyone else, Review and Publication Release.						
8. INSTRUCTIONS							
Author completes and submits this form with the manuscript via line channels to the division	on head for review and approval according to						
the routing in Section 4. 1. NRL ReportsSubmit the d	diskette (if available), manuscript, typed double-spaced,						
complete with	h tables, illustrations, references, draft SF 298, and						
proposed distribution list. 2. NRL Memorandum ReportsSubmit a copy of the original, typed manuscript complete with							
tables, illustrations, references, draft SF 298, and proposed distribution list.							
3. NRL Publications or other books, brochures, pamphlets,Handled on a per case basis by Site Technical Information Office. proceedings, or any other printed publications.							

RELATIONSHIP BETWEEN BACKSCATTERING AND BEAM SCATTERING COEFFICIENTS DERIVED FROM NEW MEASUREMENTS OF LIGHT SCATTERING PHASE FUNCTIONS

<u>Vladimir I. Haltrin</u>, Michael E. Lee,* Eugeny B. Shybanov,*
Robert A. Arnone, Alan D. Weidemann, Victor I. Mankovsky,*
W. Scott Pegau,** and Sherwin D. Ladner ***

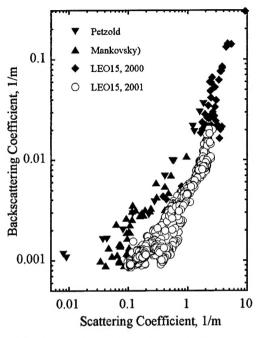
Naval Research Laboratory, Ocean Optics Section, Code 7333 Stennis Space Center, MS 39529-5004, USA Phone: 228-688-4528, e-mail: <haltrin@nrlssc.navy.mil>

- * Marine Hydrophysical Institute of Ukrainian Academy of Sciences, Optics Department, 2 Kapitanskaya Street, Sevastopol, Crimea, 99011, Ukraine e-mail: <lee@alpha.mhi.iuf.net>
 - ** Oregon State University, 104 Ocean Admin Bldg, Corvallis, OR 97331, USA e-mail: <spegau@coas.oregonstate.edu>
- *** Planning Systems Inc., MSAAP Bldg. 9121, Stennis Space Center, MS 39529, USA email: <ladner@nrlssc.navy.mil>

INTRODUCTION

Processing of optical remote sensing information requires a knowledge of dependence between backscattering and beam scattering coefficients. Until recently this dependency was primarily derived from fifteen angular scattering coefficients measured more than 30 years ago by Petzold [1]. A few years ago a new probe to measure an angular scattering coefficient of natural waters was developed and built by the Marine Hydrophysical Institute in Sevastopol, Crimea [2]. Since 2000 his device was employed in several LEO-15 expeditions near the coast of New Jersey, and in May 2002 expedition in the northern Gulf of Mexico.

The results of these measurements show that coastal waters can be divided into two distinct types: one - similar to the waters of Petzold experiment near the California coast, and the other - biologically more pure type [3] that was experimentally detected during LEO-15 experiment in 2001. This presentation analyzes more than 875 angular scattering coefficients measured in different areas of World Ocean (873) and Lake Baykal (2). The main difference between Petzold-type coastal waters and biologically pure waters is characterized by the dependence between backscattering and beam scattering coefficients [3, 4]. The backscattering probability, or ratio of these coefficients, for the biologically pure coastal waters is about three times smaller than the backscattering probability for the Petzold-type waters. This result is very important for algorithms to process optical information measured from satellites and aircrafts and modeling light propagation in water.



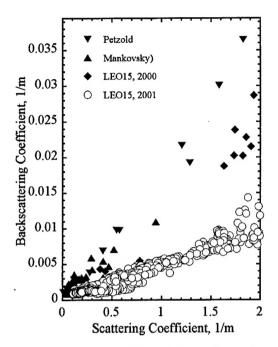


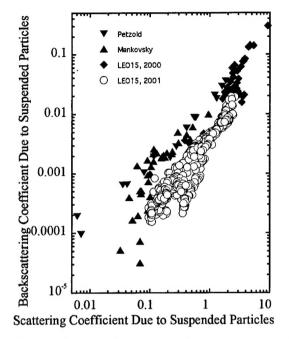
Fig. 1. Backscattering coefficient b_B as a function of scattering coefficient b.

Fig. 2. Lower left corner of Fig. 1 plotted in linear scales

Here we discuss the relationships between backscattering b_B and scattering b coefficients of the following four sets of 875 phase functions: 1) Fifteen (15) Petzold phase functions [1] measured at 515 nm in California Bay; 2) Forty one (41) Mankovsky phase functions [5-7] measured at 520 nm in Atlantic, Indian, and Southern oceans, Mediterranean and Black seas, and Lake Baykal in Siberia. 3) Sixty (60) high resolution phase functions measured at 555 nm near the shores of New Jersey during the LEO-15 experiment in 2000; and, 4) Seven hundred and fifty nine (759) high resolution phase functions measured at 555 nm near the shores of New Jersey during the LEO-15 experiment in 2001 [8]. The tables of the phase functions with the corresponding values of b_B , b, and beam attenuation coefficient c for the first two sets of data are published in Refs. [1] and [5, 6] respectively. The table for b and b_B measured during the LEO-15 experiment in 2000 is given in the Appendix to this paper.

RELATIONSHIP BETWEEN BACKSCATTERING AND SCATTERING COEFFICIENTS

Figures 1 and 2 show relationship between b_B and b for all four sets of data in log-log and linear scales. The data displayed in Figures 1 and 2 from the backscattering point of view clearly represent two distinct optical water types. The first water type (we name it Petzold-type water, or P-type water) includes all Petzold measurements, most of the Mankovsky and a majority of LEO-15 2000 measurements. The second type of water is represented by the bulk of the LEO-15 2001 measurements, small part of the LEO-15 2000 measurements, and some of the Mankovsky data. This type ideally represents the



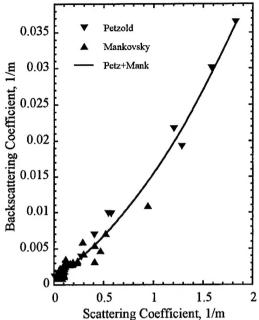


Fig. 3. Backscattering coefficient due to suspended particles (SP) versus scattering coefficient due to SP.

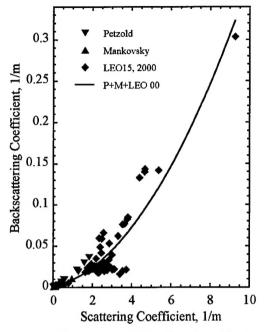
Fig. 4. Backscattering coefficient b_B as a function of scattering coefficient b for Petzold and Mankovsky PHFs.

optical model proposed in Ref. [3] with the modeling algorithm and code published in [4]. According to Ref. [3] we name it a Biologically Stable water type (BS-type water). The BS- type water is modeled as a mixture of terrigenic particles (with the sizes between 0.01 and 1.3 mkm), and biogenic (phytoplankton) particles (with the sizes between 1.3 and 13 mkm) [3, 9]. The model proposed in [3, 4] restores all inherent optical properties of the second, BS- type water, from the value of one property at certain wavelength.

The P-type water differs from the BS-type water by the ratio of backscattering to scattering coefficients, $B = b_B/b$. The ratio B has a physical meaning of the probability of backscattering. The value of B for the P-type water is approximately three times larger than the value of B for the BS-type water. It means that the Petzold-type waters contain larger fraction of small particles than the Biologically Stable waters. It also means that it is possible to expand seawater optical model [3] to include P-type waters and waters intermediate between P- and BS-types.

Figure 3 shows the same values of b_B and b as displayed in Fig. 1. The difference consists only in a removal of pure water components of b_B and b. The comparison between Fig.1 and Fig.3 shows that the pure water correction will significantly influence only 4-5 measurements by Mankovsky. It means that the statistics of resulting equations will change negligently, but the complexity of equations will increase significantly. This explains why we omitted a pure water correction procedure in our derivation of the subsequent equations.

For the purposes of modeling optical remote sensing, visibility, and laser propagation in seawater it is convenient to have empirical relationships between b_B and b. Our exten-



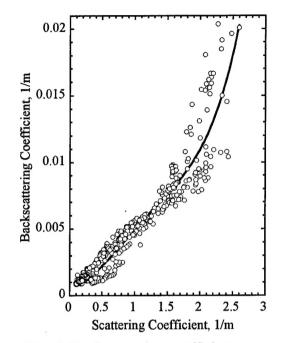


Fig. 5. The same as Fig. 2 only with the addition of LEO-15 (2000) PHFs measured near New Jersey coast.

Fig. 6. Backscattering coefficient as a function of scattering coefficient for the LEO-15 (2001) PHFs.

sive set of data allows us to obtain the following three dependencies for several combination of measurements.

Figure 4 shows that both Petzold and Mankovsky measurements fall in the same category of P-type waters. The relationship obtained from 56 Petzold and Mankovsky phase functions can be expressed as follows:

$$b_n = 0.001095 + 0.0083274 b + 0.0059797 b^2, \quad r^2 = 0.97877, \quad 0.002 \,\text{m}^{-1} \le b \le 2 \,\text{m}^{-1}.$$
 (1)

The LEO-15 measurements also give very good examples of P-type waters. Together with the Petzold and Mankovsky measurements they are shown in Fig. 5. The regression based on this figure that covers the range of b's up to 10 1/m has the following form:

$$b_n = 0.0012002 + 0.005058b + 0.0032065b^2, \quad r^2 = 0.87362, \quad 0.002 \,\mathrm{m}^{-1} \le b \le 10 \,\mathrm{m}^{-1}.$$
 (2)

The relationship between b_B and b for BS-type waters is shown in Fig. 6. The dependence is nonlinear and may be expressed as a polynomial of the 4-th order:

$$b_B = 0.0010313 - 0.0013315b + 0.010479b^2 -0.0067754b^3 + 0.0015573b^4, \quad r^2 = 0.92057, \quad 0.002 \,\mathrm{m}^{-1} \le b \le 2.6 \,\mathrm{m}^{-1}.$$
 (3)

We proposed here three new empirical regressional equations that express b_B through the values of b. Equations (1) and (2) are derived for the Petzold-type waters. For relatively clear P-type waters with b < 2 1/m Eq. (1) is applicable. For more turbid ($b \ge 2$ 1/m) P-type waters Eq. (2) gives better results. For clear biologically stable waters Eq. (3) is valid and is supported by the optical model proposed in Ref. [3].

CONCLUSION

A set of three new regression relationships that couples backscattering coefficient to scattering coefficient of marine (and lake) waters is proposed. This set of equations is valid in the range of variability of scattering coefficient between 0.002 and 10 1/m and is based on experimental measurements of 875 phase functions of scattering in such diverse areas as Atlantic, Pacific, Indian and Southern oceans, Mediterranean and Black seas, and lake Baykal. These regressions could be used for processing remotely measured optical information, and modeling visibility and laser propagation in natural waters.

ACKNOWLEDGMENTS

The authors from the Naval Research Laboratory (NRL) thank continuing support through the Spectral Signatures (SS 735939-A1) and Volume Scattering Functions (VSF 73-6641-02-5) programs. This article represents NRL contribution PP/7330-02-0058.

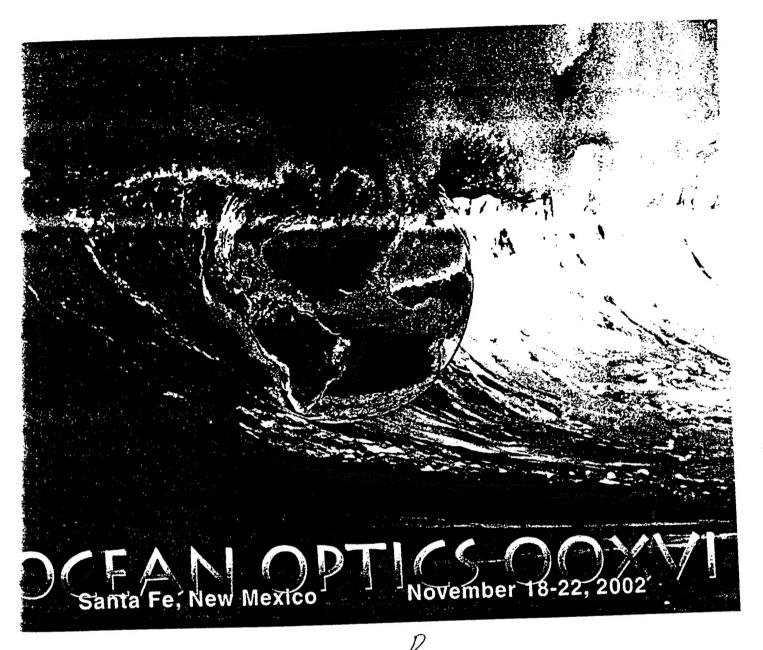
APPENDIX: Table of scattering and backscattering coefficients measured during the LEO-15 experiment near a New Jersey Atlantic coast in 2000 (wavelength of light 555 nm).

<i>b</i> , 1/m	<i>b_B</i> , 1/m	<i>b</i> , 1/m	b_B , 1/m	<i>b</i> , 1/m	b_B , 1/m
2.5580	0.066471	2.6099	0.019748	5.3669	0.14202
2.3391	0.059896	2.6465	0.021283	3.2965	0.062514
3.5328	0.076370	1.7336	0.020290	2.8587	0.053302
3.7970	0.085095	2.2687	0.021759	3.6220	0.077075
2.4405	0.041769	2.2867	0.020602	4.6682	0.14352
2.1881	0.035081	2.4601	0.022276	4.6497	0.14002
2.3882	0.049005	3.0087	0.021673	4.3863	0.13299
2.4580	0.059011	3.1137	0.022520	9.2629	0.30343
2.8347	0.033679	3.0848	0.022428	2.3216	0.017959
2.3648	0.026237	3.0168	0.021980	2.2576	0.018442
2.1734	0.020614	2.9318	0.024618	1.6314	0.018788
2.2631	0.020900	2.6125	0.030094	2.5851	0.028714
2.2297	0.026031	2.4951	0.028691	2.2565	0.020200
2.7781	0.027610	2.1016	0.026573	2.9872	0.039515
1.7422	0.023861	3.5378	0.020215	2.6492	0.026690
1.8224	0.020247	3.3968	0.016528	2.7387	0.020780
1.9048	0.021528	3.7196	0.021640	0.78230	0.0054816
1.8562	0.022812	2.4638	0.026969	0.37960	0.0043522
2.0120	0.022339	1.9339	0.028685	0.43410	0.0040586
2.2978	0.019499	3.7723	0.082937	0.58070	0.0039305

REFERENCES

- 1. T. J. Petzold, *Volume Scattering Functions for Selected Ocean Waters*, SIO Ref. 72-78, Scripps Institute of Oceanography, Visibility Laboratory, San Diego, CA, USA, pp. 79 (1972).
- 2. V. I. Haltrin, M. E. Lee, and O. V. Martynov, "Polar Nephelometer for Sea Truth Measurements", in *Proceedings of the Second International Airborne Remote Sensing Conference and Exhibition*, Vol. II, San Francisco, CA, Publ. by ERIM, ISSN 1076-7924, pp. 444-450, 1996.†
- 3. V. I. Haltrin, "Chlorophyll-based model of seawater optical properties," *Appl. Optics*, **38**, 6826-6832 (1999).†
- 4. V. I. Haltrin, "An algorithm to restore spectral signatures of all inherent optical properties of seawater using a value of one property at one wavelength," in *Proceedings of the Fourth International Airborne Remote Sensing Conference and Exhibition*, I, ERIM International, Ann Arbor, MI, USA, I-680-687 (1999).[†]
- 5. V. I. Mankovsky, and V. I. Haltrin, "Phase Functions of Light Scattering Measured in Waters of World Ocean and Lake Baykal," 2002 IEEE International Geoscience and Remote Sensing Symposium and the 24th Canadian Symposium on Remote Sensing Proceedings on CD ROM, June 24-28, 2002, Toronto, Canada, Library of Congress Number: 2002 105858, Paper # I2E09 1759.†
- 6. V. I. Mankovsky, and V. I. Haltrin, "Light scattering phase functions measured in waters of Mediterranean Sea,"—Presented to the OCEANS 2002 MTS-IEEE Conference, Biloxi, Mississipi, USA, October 29-31, 2002.†
- 7. V. I. Haltrin, "Theoretical and empirical phase-functions for Monte-Carlo calculations of light scattering in sea water." in: Proceedings of the Fourth International Conference Remote Sensing for Marine and Coastal Environments: Technology and Applications, I, Publication by Environmental Research Institute of Michigan, Ann Arbor, Michigan, 509-518 (1997). [Errata: In Eq.(45) e should be replaced by 10].
- 9. Our recent spectral measurements in the Gulf of Mexico in 2002 show that the spectral dependence of phase functions is very weak and we can use measurements at 515, 520, and 555 nm together with the resulting error in the range less than 5%.
- 8. O. V. Kopelevich, "Small-parametric model of the optical properties of seawater," in *Ocean Optics, I: Physical Ocean Optics*, A. S. Monin, ed., Nauka, Moscow, 1983, pp. 208–234 (in Russian).

[†] These papers are available online in a PDF format at http://calcium.nrlssc.navy.mil/~haltrin/>.



Program and Abstracts

COVERING A DIVERSITY OF TOPICS PERTAINING TO:

- Radiative Transfer Theory
- In Situ and Remote Sensor and Measurement System Design
 - Applications of Quantitative Remote Sensing
- Interdisciplinary Processes Pertaining to the Ocean Surface, Volume, and Floor
 - Marine Environment Management Strategies

Sponsored by:

Office of Naval Research